

ANNEX A - BROKERS LIST 22.06.2023

Brokers & CTP	SCD	IK	Sofia Code	LEI	MIFID scope	OTC derivatives	Clearing brokers for ETD/OTC	Executing brokers ETD	Depo (authorized FxSpot)
ABN AMRO - AMSTERDAM	ABN AMRO BK AM	10906	1231	BFXSXCH7N0Y05NIXW11	yes				
AUREL BGC - PARIS	AUREL BGC PARI	10735	629	5RTJG2G4559ESIYLD31	yes			X	
BANCA GENERALI SPA - TRIESTE	BCA GENERALI T	537	264	815600903231FA2E7698	yes				
BANCA MONTE DEI PASCHI DI SIENA SpA	BANCA MPS	10960		14CP7MHCXR8DAQMKIL78	yes				
BANCO BILBAO VIZ. ARG.-MADRID	BBVA MADRID	10705	309	K8M57FD7N52ZWQ51A271	yes	X			
BANCO SANTANDER SA - MADRID	BCO SANTAND MD	2219	323	5493006QMFDDMYWIAM13	yes	X			
BANK OF AMERICA MERR LYNCH LN	BKAMERICA LN	10790	313	EYKN6V0ZC8BV9DUJL880	yes	X			
BANK VONTOBEL AG-ZURICH	BK VONTOBEL ZU	10724	916	549300L7V4MGCEYRM576	no				
BARCLAYS BANK IRELAND PLC	BARCL BK IR DU	37603	1859	2G58KIC2CB69PRJH1W31	yes	X			
BARCLAYS BANK PLC - LONDON	BARCLAYS BK LN	544	192	G5GSEF7VJPS170UK5573	yes	X			
BARCLAYS CAPITAL SEC - LONDON	BARCL CA SE LN	26422	820	K9WDOH402PYBSLSOB844	yes	X			
BASLER KANTONAL BANK	BASLER KANTONA	22574	919	HV5W8PGL1127N25FSM23	no	x			
BAYERISCHE LANDESBANK	BAYER LAND MON	10738	766	VDYMYTQZGZ66DU0912C88	yes				
BNP PARIBAS ARBITRAGE-PARIS	BNP ARBIT PARI	9806	583	6EWKUOFGV55QQJHFGT48	yes				
BNP PARIBAS SA - LONDON	BNP LONDON	10784	171	ROMUWSPU8MPRO8K5P83	yes	X			
BNP PARIBAS SA - PARIS	BNP PARIS	538	467	ROMUWSPU8MPRO8K5P83	yes	X			
BNP PARIBAS SA German Branch	BNP PARIBAS DE	40422	7130	ROMUWSPU8MPRO8K5P83	yes				x
BNP Paribas SA Luxembourg	BNP PARIBAS LUX	239559		ROMUWSPU8MPRO8K5P83	yes				x
BNP Paribas SA Milan	BNP PARIBAS MI	40421	5081	ROMUWSPU8MPRO8K5P83	yes				x
BNP Paribas SA Singapore	BNP PARIBAS SING	239561		ROMUWSPU8MPRO8K5P83	yes				x
BNP PARIBAS SA Spain Branch	BNP PAR MADRID	10791	7869	ROMUWSPU8MPRO8K5P83	yes				x
BNP Paribas, Paris, Zurich Branch	BNP PARIBAS ZURI	239563		ROMUWSPU8MPRO8K5P83	yes				x
BOFA SECURITIES EUROPE SA - PARIS	BOFA SEC SA PA	37608	1855	549300FH0JWAPEHTIQ77	yes	X			
BRED BANQUE POPULAIRE - PARIS	BRED BOE PARIS	547	655	NICH5Q04ADUV9SN3Q390	yes				
CAIXABANK SA - VALENCIA	CAIXABANK BARC	554	1201	7CUNSS33WID6K7DGF187	yes				
CITIBANK EUROPE PLC	CITIBK EU DUB	27104	1447	N1F8EDJ541VKZL02475	yes	X			
CITIGROUP GLOB MARKET INC-NY	CITIGROUP NY	10796	289	MBNUM2BPD07JBLYG310	no				
CITIGROUP GLOB MARKET LTD-LN	CITIGROUP LND	9804	290	XKZZ2JZ41MRHRT1V493	yes	X			
CITIGROUP GLOBAL MARKETS EUROPE AG	CITIG MKT E FK	37601	1856	6TJCK187E7UTXP528Y04	yes	X			
COMMERZBANK AG - FRANKFURT	COMMERZBANK FR	568	251	851WYGNLUQLFZBSYG586	yes	X			
CREDIT AGRICOLE CIB - PARIS	CRAGRIC PARIS	542	69	1VU7V7QFKUQOQS21A208	yes	X			
CREDIT SUISSE (DEUTSCHLAND) AG	CS DEUT AG FK	46109	1868	529900ENT1WBE443L243	yes	X			
CREDIT SUISSE (USA)-NEW YORK	CS NYORC	10727	112	EXD7DEVFDH4HOFFQ7349	no				
CREDIT SUISSE AG - ZURICH	CS ZURICH	652	346	ANGGXNXX01X363JN86	no				
CREDIT SUISSE BANK EUROPE SA	CS SEC SVAL MA	37604	1860	959800TMGPWX7NYM4R72	yes	X			
CREDIT SUISSE INTL - LONDON	CS INTL LND	587	344	E58DKGMJYYYJLN8C3868	yes	X			
CREDIT SUISSE SCHWEIZ - ZURICH	CS SCHWEIZ ZU	40631	1902	549300CWR0WB0CS9Q144	yes	X			
CREDIT SUISSE SEC (EUROPE)-LON	CS (EUR) LND	11629	342	DL6FRRR174501HE2M14	yes	X			
DANSKE BANK AS-COPENAGHEN	DANSKE BK COP	10713	202	MAE5062210ARZ2U7M96	yes				
DEUTSCHE BANK AG - LONDON	DEUTBK LONDON	9803	211	7LTFWZYICNSX8D621K86	yes	X			
DEUTSCHE BANK AG-FRANKFURT	DEUTBK FRK	541	8	7LTFWZYICNSX8D621K86	yes	X			
DZ BANK AG - FRANKFURT	DZ BANK FRK	10769	348	529900HNOAA1KX0JUQ27	yes				
EQUITA SIM SPA-MILANO	EQUITA SIM MI	10737	146	815600E3E98FBC8FAA85	yes				
ERSTE GROUP BANK-WIEN	ERSTE GR BK WI	10716	784	POQH26KWDF7CG10L6792	yes				
EXANE SA - PARIS	EXANE PARIS	10734	212	969500UP76152A9OXUJ27	yes				
FLOW TRADERS BV	FLOW TRADERS BV	200503	2056	549300CJ19XDH12XV5	yes				
GFI EU - PARIS (trading name of Aurel)	GFI SECURI PA	10823	896	5RTJG2G4559ESIYLD31	yes				
GFI SECURITIES LTD - LONDON	GFI SECURI LN	10822	1314	GUNTIJCA81C7IHNBG392	yes			X	
GOLDMAN SACHS BANK EUROPE SE	GOLDSAC BK EFK	37606	1857	818ZUGJ7JPLP368JE346	yes	X			
GOLDMAN SACHS INTL-LONDON	GOLDSAC LOND	546	35	W22LROWP2IHZ2NB86K528	yes	X			
HSBC BANK PLC - LONDON	HSBC BK LN	557	364	MP6I5Z72BEU3UXPYF54	yes	X			
HSBC CONTINENTAL EUROPE - FRANCE	HSBC FRANCE PA	213	642	F0HU11NY1AZM1JMD8LP67	yes	X			
HSBC TRINKAUS BURKH-DUSSELDORF	HSBC TRINC DUS	10714	679	JUNT4050W80Y5GN4DX16	yes				
ING BANK NV- AMSTERDAM	ING BK AMS	10744	256	3TK20IVUJ8J3ZU0QE75	yes	X			
INSTINET EUROPE GERMANY GMBH	INSTINET GE FK	46111	1869	213800A78EQA0UAUAN40	yes				
INTERMONTE SIM-MILANO	INTERMONTE MI	10757	372	YMUU1WGHJKORF9E36198	yes				
INTESA SAN PAOLO - PARIS BRANCH	INTESA SPAO PAR	211503	2068	984500E04870A4GD4A33	yes				
INTESA SANPAOLO - MILANO	INTESA SPAO MI	3365	267	2W8N8UJ78PMDQKZENC08	yes	X			
JANE STREET - NETHERLANDS	JANE STREET NE	144226	2000	549300AED0WJETIDYFB29	yes				
JEFFERIES GmbH	JEFFERIES FK	37605	1863	5493004I3LZM39BWQ75	yes				
JOH BERENBERG GOSSLER-HAMBURG	JOH BERENBE HA	10773	661	529900UC2OD711242667	yes				
JPM MORGAN CHASE BANK-LONDON	JPMCHASBK LN	10779	379	7H6GLXDRJGQFU57RNE97	yes	X			
JPM MORGAN SE	JPM AG FRK	34229	377	5493002K53CNGEEI6A29	yes	X		X	
JPM MORGAN SEC LLC - NEW YORK	JPM SEC NYC	10761	381	ZBUT11V806EZRVTW7807	no				
JPM MORGAN SEC PLC - LONDON	JPM SEC LONDON	9805	7	K6QQW1P51104IOL9C32	yes	X			
JPM MORGAN SECURITIES LTD (AUSTRALIA)	JPM SEC SIDNEY	24265	376	54930020AVQFOFQ5QV15	no				
KEPLER CHEUVREUX SA-PARIS	KEPLER CHEV PA	10780	518	9695005E0ZG9X8IRJ84	yes				
KNG SECURITIES - LONDON	KNG SECURIT LN	99921	1437	213800GV7S9JSGK9548	yes				
LANDESBK BADEN-WUERTEMBERG-ST	LBBW STUTTGART	10732	274	B81CK4E1S35472RHJ606	yes				
LANDESBK HESSEN THU- FRANKFURT	LANDESBK HE FR	10719	724	DIZESSCF05K3ISR58746	yes				
LIQUIDNET EURO	LIQUIDNET EURO	135320	1987	2138005N5TO2URJ67136	yes				
LLOYDS BANK CORPORATE MARKET	LLOYDS BK C LN	26423	1818	213800MBWEJDM5CU638	yes				
LLOYDS BANK CORPORATE MARKET GERMANY	LLOYDS BK C FK	140320	1991	2138008P9NOMBRMROI73	yes				
MARKTAXESS CAPITAL LIMITED	MKTAXES CAP LN	36216	1831	529900CTXON855AOCB70	yes				
MEDIOBANCA - MILANO	MEDIOBANCA MI	9801	44	PSNL19R2RXX5U3QWHI44	yes	X			
MERRILL LYNCH INTL - LONDON	MERRILL LY LND	4039	142	GGDZ1UYU9G5TUHRDP48	yes	X			
MIZUHO INTL PLC - LONDON	MIZUHO INTL-LN	10770	1054	213800H254TG54H2KV03	yes				
MIZUHO SECURITIES EUROPE GMBH	MIZUHO SEC FK	46110	1867	213800G8QEXN34A2Y653	yes				
MORGAN STANLEY & CO INT-LONDON	MSTANLEY LND	543	23	4PQUHN3JPFQFNFB38B653	yes	X			
MORGAN STANLEY EUROPE SE (MSESE)	MSTANLEY EU FK	37607	1858	54930056FWHP7GIWY008	yes	X		X	
MUFG EU	MUFG SEC EU AM	17178	1897	5493005E0S05M7CM2G07	yes				
MUFG Securities EMEA PLC	MUFG SEC E-LN	10740	669	U7M81AY481YL1OR75625	yes				
NATIXIS SA - PARIS	NATIXIS SA PAR	539	397	KX1WK48MPD4Y2NCUIZ63	yes	X			
NATWEST MARKETS N.V.	NATWEST MK AMS	21540	179	X3C2P3CK64YBHN0L1E12	yes	X			
NATWEST MARKETS PLC	NATWEST MKT LN	590	405	RR3QCWICWIPCS8AA5074	yes	X			
NOMURA FINANCIAL PRODUCTS EUROPE GmbH	NOMURA EU FK	46112	1870	5493002ZY2Z0CGQC858	yes	X			
NOMURA INTL PLC -LONDON	NOMURA INT LN	9807	398	DGQC5V2PHVF7I2743539	yes	X			
NORDEUTSCHE LANDESBK-HANNOVER	NORDLB HANNOVE	10718	686	DSNHQ289X5N60UJ1236	yes				
NORDEA BANK ABP - FINLAND	NORDEA BK HELS	10781	936	529900DI3047E2LIV03	yes				
NYKREDIT BANK A/S	NYKREDIT BK CO	10772	1729	52965FONQ5NZNKPOWZL45	yes				
OCTO FINANCES - PARIS	OCTO FIN PARIS	10767	645	969500378YE4MLGK0898	yes				
ODDO ET CIE - PARIS	ODDO BHF SCA	545	630	9695002I9DJH23449066	yes				
PANTELAKIS SECURITIES – ATHENS	PANTELAKIS ATE	10709	1326	213800588FNQ24KTNB18	yes				
PORTZAMPARC	PORTZAMPARC	154027	2019	969500DEM67LRGQQT19	yes				
RABOBANK NEDERLAND -UTRECHT	RABOBANK UTRE	589	639	DG3RU1DBUFH4ZF9WNG2	yes				
RAIFFEISEN BANK -WIEN	RBI RAIF BK WI	10782	941	92HRYM6F4375JGOUQ95	yes				
RBC CAPITAL MARKETS (EUROPE) GmbH	RBC CAP MKT FK	57617	1883	5493005XSTGQ3EA1B18	yes				
ROYAL BK OF CANADA EUROPE-LN	ROYAL BK OF CAN	21045	215	TXDSU46SX8WJIGJ8GE98	yes				
SANFORD C BERNSTEIN LIMITED	SANFORD C DUBL	57618	1884	549300W30847B1LD502	yes				
SMBC NIKKO BANK EU AG	SMBC NIKKO FRK	57616	1882	5493008794GM6YH5G766	yes				
SMBC NIKKO CAPITAL MARKETS LTD-LONDON	SMBC NIKKO LN	13112	1626	67WFA3G3M5YHH8CHG81	yes				
SOCIETE GENERALE - LONDON	SOC GEN LN	10766	411	OZRNE8IXP4R0TD8PU41	yes	X			
SOCIETE GENERALE -PARIS	SOC GEN PARIS	540	74	OZRNE8IXP4R0TD8PU41	yes	X			
STANDARD CHARTERED BANK AG	STAN CHA AG FK	37609	1862	549300WDT1WHUUMTUW770	yes				
STANDARD CHARTERED BANK-LONDON	STAN CHA BK LN	10774	1210	RILFO74KP1CM8P6CT96	yes				

Brokers & CTP	SCD	IK	Sofia Code	LEI	MIFID scope	OTC derivatives	Clearing brokers for ETD/OTC	Executing brokers ETD	Depo (authorized FxSpot)
STATE STREET BANK INTERNATIONAL MUN	STATE ST BK MUN	53315	2051	ZMHGNT72PKZ3UFZ8EO46	yes	X			
STIFEL EUROPE BANK GERMANY	STIFEL EU BK D	154026	2020	529900MC68RTGHKI4F05	yes				
STIFEL NICOLAUS EUROPE LTD-LON	STIFEL NIC EUR	10896	1276	213800BVEFNZ8UYPKL03	yes				
TD GLOBAL FINANCE UNLIMITED COMPANY (TDGF)	TD GLB FIN DUB	37602	1861	FI6C7E5PBUB3F9K43B44	yes				
TP ICAP - FRANCE	TP ICAP EU	167333	2038	213800R54EFFINMY1P02	yes				
TRADEWEB EU BV- NETHERLAND	TRADEWEB EU BV	167333	2037	724500D48FEWKWVC1G62	yes				
TRADEWEB EXECUTIVE SERVICE BV	TRADEWEB EX BV	256199		213800IX386I5NTC277	yes				
TRADITION SECURITIES AND FUTURES - PARIS	TRADIT SEC PAR	10901	1704	969500ULC0Y1IG0A4O72	yes				
TSAF OTC	TSAF OTC	135420	1988	969500V058ZS03FVX80	yes				
UBS AG - LONDON BRANCH	UBS AG LONDON	10785	455	BFM8T61CT2L1QCCEMIK50	yes	x			
UBS AG - ZURICH BRANCH	UBS AG ZURICH	10754	426	BFM8T61CT2L1QCCEMIK50	no	x	X		
UBS EUROPE SE	UBS EUROPE FRK	27003	1754	5299007QVIQ7IO64NX37	yes	X			
UNICREDIT BANK AG - MONAC	UNICR BK MON	9808	190	22CNRR8UK83O8TEK2170	yes	X			
UNICREDIT BK AG (ITALY)-MILAN	UNICR BK AG MI	10904	699	22CNRR8UK83O8TEK2170	yes				
UNICREDIT SpA	UNICREDIT SPA	581	427	549300TRUWO2CD2G5692	yes	X			
WELLS FARGO SE - FRANCE	WELLS FARGO SE	135321	1986	549300AQW00F758JFF80	yes				
ZUERCHER KANTONALBANK	ZUERCHER KA ZU	10731	617	165GRDQ39W63PHVONY02	no	X			

UK broker - Suspended for Brexit contingency plan

UK branch with Legal entity EU (same LEI code)

UK broker authorized to operate in Italy from CONSOB/Bankit

Changed field

suspended: failed annual recertification

NEW BROKER