

Brokers & CTP	Code	SCD	LEI	MIFID scope	OTC derivatives	Custodian banks for FX spot	Clearing brokers for ETD/OTC	Executing broker for listed derivatives
ABN AMRO - AMSTERDAM	1231	ABN AMRO BK AM	BFXSXC7H0Y05NIXW11	yes				
AUREL BGC - PARIS	629	AUREL BGC PARI	5RUTDG2G4559ESIYL31	yes				X
BANCA GENERALI SPA - TRIESTE	264	BCA GENERALI T	815600903231FA2E7698	yes				
BANCO BILBAO VIZC. ARG.-MADRID	309	BBVA MADRID	K8M57FD7N5Z2WQ51A271	yes	X			
BANCO SANTANDER SA - MADRID	323	BCO SANTAND MD	5493006QMFDDMYWIAM13	yes	X			X
BANK OF AMERICA MERR Lynch LN	313	BKAMERICA LN	EYKN6V0ZCB8VD9IULB80	yes	X			
BANK VONTOBEL AG-ZURICH	916	BK VONTOBEL ZU	549300L7V4MGECYRM576	no				
BARCLAYS BANK - FRANKFURT	732	BARCLAYS BK FR	G5GSEF7VP5I70UK5573	yes	x			
BARCLAYS BANK IRELAND PLC	1859	BARCL BK IR DU	2G5BKC2CB69PJRH1W31	yes	X			
BARCLAYS BANK PLC - LONDON	192	BARCLAYS BK LN	G5GSEF7VP5I70UK5573	yes	X			X
BARCLAYS CAPITAL SEC - LONDON	820	BARCL CA SE LN	K9WDDH4D2PYSLSOB484	yes	X			
BASLER KANTONAL BANK	919	BASLER KANTONA	HV5W8PGLJ127N2SFSM23	no	x			
BAYERISCHE LANDESBANK	766	BAYER LAND MON	VDYMYTQZGZ6DU0912C88	yes				
BNP PARIBAS ARBITRAGE-PARIS	583	BNP ARBIT PARI	6EWKU0FGVXSQQHFGT48	yes				
BNP PARIBAS SA - LONDON	171	BNP LONDON	ROMUW5FPUB8MPRO8K5P83	yes	x			
BNP PARIBAS SA - PARIS	467	BNP PARIS	ROMUW5FPUB8MPRO8K5P83	yes	x			X
BNP PARIBAS SEC SVCS- FRANKFURT	1413	BNP SEC SEV FK	549300WCGB70D06XZ554	yes		X		
BNP PARIBAS SEC SVCS- LUX	473	BNP SEC SEV LX	549300WCGB70D06XZ554	yes		X		
BNP PARIBAS SEC SVCS- MILAN	976	BNP SEC SEV MI	549300WCGB70D06XZ554	yes		X		
BOFA SECURITIES EUROPE SA - PARIS	1855	BOFA SEC SA PA	549300FH0WJAPHEITQ77	yes	X			
BRED BANQUE POPULAIRE - PARIS	655	BRED BOE PARIS	NICH5Q04ADUV9S9N3Q390	yes				
CAIXABANK SA - VALENCIA	1201	CAIXABANK BARC	7CUN533WID6K7DGF187	yes				
CITIBANK EUROPE PLC	1447	CITIBK EU DUB	N1FBEDJ5J41VK2L02475	yes	X			
CITIGROUP GLOB MARKET INC-NY	289	CITIGROUP NY	MNBUN2BPBDO7J8LYG310	no				
CITIGROUP GLOB MARKET LTD-LN	290	CITIGROUP LND	XXZ2ZJF41MRHT1V493	yes	X			X
CITIGROUP GLOBAL MARKETS EUROPE AG	1856	CITIG MKT E FK	6TJCK1B7E7UTXP528Y04	yes	X			
COMMERZBANK AG - FRANKFURT	251	COMMERZBANK FR	851WYGNLUQLFZB5YGB56	yes	X			
CREDIT AGRICOLE CIB - PARIS	69	CRAGRIC PARIS	1VUV7VQF0UQOJ31A208	yes	X			
CREDIT SUISSE (DEUTSCHLAND) AG	1868	CS DEUT AG FK	529900ENT1WBE443L243	yes	X			
CREDIT SUISSE (USA)-NEW YORK	112	CS NYORK	EXD7DEVDFH4HOFQ7349	no				
CREDIT SUISSE AG - ZURICH	346	CS ZURICH	ANGGFXN0JLX3G63JN86	yes				
CREDIT SUISSE INTL - LONDON	344	CS INTL LND	E58DKGMJYYJL8C3B68	yes	X			X
CREDIT SUISSE SCHWEIZ - ZURICH	1902	CS SCHWEIZ ZU	549300CWRO8W0BC59Q144	yes	X			
CREDIT SUISSE SEC (EUROPE)-LON	342	CS (EUR) LND	DL6FFRRL74501HE2M14	yes	X			
CREDIT SUISSE BANK EUROPE SA	1860	CS SEC SVAL MA	959800TMGPWX7NYM4R72	yes	X			
DANSKE BANK AS-COPENAGHEN	202	DANSKE BK COP	MAES06222104RZ2U7M96	yes				
DEUTSCHE BANK AG - LONDON	211	DEUTBK LONDON	7LTFWZYCNXSD821K86	yes	x			X
DEUTSCHE BANK AG-FRANKFURT	8	DEUTBK FRK	7LTFWZYCNXSD821K86	yes	X			
DZ BANK AG - FRANKFURT	348	DZ BANK FRK	529900HNOAA1KXJQ027	yes				
EQUITA SIM SPA-MILANO	146	EQUITA SIM MI	815600E3E9BFC8FAA85	yes				
ERSTE GROUP BANK-WIEN	784	ERSTE GR BK WI	P0OH26KWDF7CG10L6792	yes				
EXANE LTD - London	1599	EXANE LTD LN	213800SH9MTN2QJKP526	yes				
EXANE SA - PARIS	212	EXANE PARIS	969500UP76J52A9OXU27	yes				X
FLOW TRADERS BV	2056	FLOW TRADERS B	549300CJL9XDH12KXV5	yes				
GFI SECURITIES LTD - LONDON	1314	GFI SECURI LN	GUJNTICA81CTHHNBGI392	yes				
GOLDMAN SACHS BANK EUROPE SE	1857	GOLDSAC BK EFK	8IBZUGJ7JPLH368JE346	yes	x		X	
GOLDMAN SACHS INTL-LONDON	35	GOLDSAC LOND	W22LROWP2IHZNBB6K528	yes	X			X
HSBC BANK PLC - LONDON	364	HSBC BK LN	MP6I5ZV2BEU3UXPFY54	yes	X			X
HSBC FRANCE	642	HSBC FRANCE PA	F0HUI1NYIAZMJMD8LP67	yes	X			
HSBC TRINKAUS BURKH-DUSSELDORF	679	HSBC TRINK DUS	JUNT405OW8OY5GN4DX16	yes				
ING BANK NV - AMSTERDAM	256	ING BK AMS	3TK201VUJ8J3ZU0QE75	yes	X			
INSTINET EUROPE GERMANY GMBH	1869	INSTINET GE FK	213800A7BEQATAOJUAN40	yes				
INTERMONTE SIM-MILANO	372	INTERMONTE MI	YMUU1WGHJKORF9E36I98	yes				
INTESA SANPAOLO - MILANO	267	INTESA SPAO MI	2W8N8UJ78PMDQKZCEN08	yes	X			
JANE STREET FINANCIAL LTD - LDN	1610	JANE STREET LN	549300ZHEHX8M31RP142	no				
JANE STREET - NETHERLANDS	2000	JANE STREET NE	549300AE0DWE7IDYF829	yes				
JEFFERIES GmbH	1863	JEFFERIES FK	5493004I3L2M398BWHQ75	yes				
JOH BERENBERG GOSSLER-HAMBURG	661	JOH BERENBE HA	529900UC20D7I124Z667	yes			X	
JP MORGAN SE	377	JPM AG FRK	549300ZK53CNGEEI6A29	yes	X			X
JP MORGAN CHASE BANK-LONDON	379	JPMCHASBK LN	7H6GLXDRUGQFV57RNE97	yes	X			
JP MORGAN SEC LLC - NEW YORK	381	JPM SEC NYC	ZBUT11V806EZRVTVT807	no				
JP MORGAN SEC PLC - LONDON	7	JPM SEC LONDON	K6Q0W1P51L104I9C32	yes	X			X
KEPLER CHEUVREUX SA-PARIS	518	KEPLER CHEV PA	9695005EOZG9X8IRJD84	yes				
KNG SECURITIES - LONDON	1437	KNG SECURIT LN	213800G759JSGK69548	yes				
LANDESBK BADEN-WUERTEMBERG-ST	274	LBBW STUTTGART	B81CK4ESI35472RHJ606	yes				
LANDESBK HESSEN THU- FRANKFURT	724	LANDESBK HE FR	DIZES5CFOSK3I5R58746	yes				
LIQUIDNET EURO	1987	LIQUIDNET EURO	213800N5T02UJRE67136	yes				
LLOYDS BANK CORPORATE MARKET	1818	LLOYDS BK C LN	213800MBWEUDM5CU638	yes				
LLOYDS BANK CORPORATE MARKET GERMANY	1991	LLOYDS BK C FK	2138008P9N0M8RMROI73	yes				
MARKETAXESS CAPITAL LIMITED	1831	MKTAXES CAP LN	529900CTXON85SAOC870	yes				
MEDIOBANCA - MILANO	44	MEDIOBANCA MI	PSNL19R2RXXSU3QWHI44	yes	X			
MERRILL LYNCH INTL -LONDON	142	MERRILL LY LND	GGDZP1UYG95TUHRD48	yes	X			X
MIZUHO INTL PLC - LONDON	1054	MIZUHO INTL-LN	213800HZ54TG54H2KV03	yes				
MIZUHO SECURITIES EUROPE GMBH	1867	MIZUHO SEC FK	213800G80EXN34A2YG53	yes				
MORGAN STANLEY & CO INTL-LONDON	23	MSTANLEY LND	4PQUHN3JPGFNF38B653	yes	X			X
MORGAN STANLEY EUROPE SE (MSESE)	1858	MSTANLEY EU FK	54930056FHWP7GIWY08	yes	x		X	
MPS CAP SVCS - FIRENZE	396	MPS CAP SV FI	V326E28Z6KSBJFBC58	yes				
MUFG EU	1897	MUFG SEC EU AM	54930050E05M7CM2G07	yes				
MUFG Securities EMEA PLC	669	MUFG SEC E-LN	U7M81AY481YLOR75625	yes				
NATIXIS SA - PARIS	397	NATIXIS SA PAR	KX1WK48MPD4Y2NCUI263	yes	X			
NATWEST MARKETS N.V.	179	NATWEST MK AMS	X3CZP3CK64YBHN1LE12	yes	X			
NATWEST MARKETS PLC	405	NATWEST MKT LN	RR3QVICWMIWPC58A45074	yes	X			
NOMURA FINANCIAL PRODUCTS EUROPE GmbH	1870	NOMURA EU FK	5493002XYZZOCQ6QCB58	yes	X			
NOMURA INTL PLC -LONDON	398	NOMURA INT LN	DGQCSV2PHVF712743539	yes	X			X
NORDEUTSCHE LANDESBK-HANNOVER	686	NORDLB HANNOVE	DSNHQ2B9XS9N6OUJ1236	yes				
NORDEA BANK ABP - FINLAND	936	NORDEA BK HELS	529900ODI3047E2LV03	yes				
NYKREDIT BANK A/S	1729	NYKREDIT BK CO	52965FONQ5NZKPOWZL45	yes				
OCTO FINANCES - PARIS	645	OCTO FIN PARIS	969500378YE4MLGK0898	yes				
ODDO ET CIE - PARIS	630	ODDO BHF SCA	9695002I9DJHJZ3449066	yes				
PANTELAKIS SECURITIES - ATHENS	1326	PANTELAKIS ATE	2138005S8FNQ24KTNB18	yes				
PORTZAMPARC	2019	PORTZAMPARC	969500DEM67LRGQOTV19	yes				
RABOBANK NEDERLAND -UTRECHT	639	RABOBANK UTRE	DG3RU1DBUFHT4ZF9WV62	yes				
RAIFFEISEN ZENTRALBK OEST-WIEN	941	RBI RAIF BK WI	9ZHRYM6F4375QJ6OUG95	yes				

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RBC CAPITAL MARKETS (EUROPE) GmbH	1883	RBC CAP MKT FK	5493005XSTGQY3EA1B18	yes				
ROYAL BK OF CANADA EUROPE-LN	215	ROYAL BK OF CAN	TXDSU465XBWIGJ8G8E98	yes				
SANFORD C BERNSTEIN LIMITED	1884	SANFORD C DUBL	549300W30847BJ1LD502	yes				
SMBC NIKKO CAPITAL MARKETS EUROPE GmbH	1882	SMBC NIKKO FRK	5493008794GM6YH5G766	yes				
SMBC NIKKO CAPITAL MARKETS LTD-LONDON	1626	SMBC NIKKO LN	G7WFA3G3MT5YHH8CHG81	yes				
SOCIETE GENERALE - LONDON	411	SOC GEN LN	O2RNE8IBXP4R0TD8PU41	yes	x			
SOCIETE GENERALE -DEUTSCHLAND	125	SOC GEN FRK	529900W18LQJN6S336	yes				
SOCIETE GENERALE -PARIS	74	SOC GEN PARIS	O2RNE8IBXP4R0TD8PU41	yes	X			X
Societe Generale Securities Services	1133	SGSS SPA MI FX	549300SAMQW77Y21TR74	yes		X		
SOCIETE GENERALE SVCS - MILAN	412	SGSS SPA MI FX	549300SAMQW77Y21TR74	yes		X		
STANDARD CHARTERED BANK AG	1862	STAN CHA AG FK	549300WDT1HWUMTUW770	yes				
STANDARD CHARTERED BANK-LONDON	1210	STAN CHA BK LN	RILFO74KP1CM8P6PCT96	yes				
STATE STREET BANK INTERNATIONAL MUN	2051	STATE ST BK MU	ZMHGNT72PKZ3UFZ8EO46	yes	X			
STIFEL EUROPE BANK GERMANY	2020	STIFEL EU BK D	529900MC68RTGHKI4F05	yes				
STIFEL NICOLAUS EUROPE LTD-LON	1276	STIFEL NIC EUR	213800BVEFNZ8UYPKL03	yes				
TD GLOBAL FINANCE UNLIMITED COMPANY (TDGF)	1861	TD GLB FIN DUB	F16C7E5PBUB3F9K43B44	yes				
TORONTO DOMINION BANK - LONDON	1329	TORONTO DOM LO	5493006RJSLS5DA4PD75	yes				
TP ICAP - FRANCE	2038	TP ICAP EU	213800R54EFFINMY1P02	yes				
TRADITION SECURITIES AND FUTURES - PARIS	1704	TRADIT SEC PAR	969500ULCOY1IGDA4072	yes				
TRADEWEB EU BV- NETHERLAND	2037	TRADEWEB EU BV	724500D48FEWKWVC1G62	yes				
TSAF OTC	1988	TSAF OTC	969500V058ZS03F8X80	yes				
UBS AG - LONDON BRANCH	455	UBS AG LONDON	BFM8T61CT2L1QCCEMIK50	yes	x			
UBS AG - ZURICH BRANCH	426	UBS AG ZURICH	BFM8T61CT2L1QCCEMIK50	no	x	X		
UBS EUROPE SE	1754	UBS EUROPE FRK	5299007QVIQ7IO64NX37	yes	X			X
UNICREDIT BANK AG - MONAC	190	UNICR BK MON	Z2CNRR8UK83OBTEK2170	yes	X			X
UNICREDIT BK AG (ITALY)-MILAN	699	UNICR BK AG MI	Z2CNRR8UK83OBTEK2170	yes				
UNICREDIT SpA	427	UNICR MILANO	549300TRUWO2CD2G5692	yes	X			
VP BANK	1822		M13TLH1I0D58ORE24Q14	no		X		
WELLS FARGO SE - FRANCE	1986	WELLS FARGO SE	549300AQW00F7S8JFF80	yes				
ZUERCHER KANTONALBANK	617	ZUERCHER KA ZU	165GRDQ39W63PHVONY02	no	x			

UK broker - Suspended for Brexit contingency plan

UK branch with Legal entity EU (same LEI code)

UK broker authorized to operate in Italy from CONSOB/Bankit

Changed field

suspended: failed annual recertification